# **NSCAI PUBLIC PLENARY 8:**

# Consideration of Third Quarter Recommendations



## PLENARY MEETING

1300-1530 I Thursday, October 8, 2020 Clearance Level: Unclassified

1300-1530 Public Meeting
Livestreamed to Public from YouTube:

https://www.youtube.com/channel/UCL1izC6LiqXw8dbH6aFL8Ww?

### PURPOSE

**Purpose**: The purpose of this virtual public plenary meeting is to deliberate and vote on Third Quarter Recommendations and Interim Report for Congress and the Executive Branch.

## ATTENDEES

- Commissioners
- · Commission Staff
- · Members of the Public
- Media

AGENDA	
1300-1530	VIRTUAL PLENARY MEETING: OPEN TO THE PUBLIC
1300-1315	CALL TO ORDER AND OPENING REMARKS:
	<ul> <li>DESIGNATED FEDERAL OFFICER, ANGELA PONMAKHA</li> <li>EXECUTIVE DIRECTOR, YLL BAJRAKTARI</li> <li>CHAIR, DR. ERIC SCHMIDT</li> <li>VICE CHAIR, HON. ROBERT O. WORK</li> <li>COMMISSIONER, DR. ERIC HORVITZ</li> </ul>
1315-1515	RECOMMENDATIONS REVIEW & DELIBERATION
	THE ORDER OF CONSIDERATION MAY CHANGE DEPENDING ON COMMISSIONER SCHEDULES
1315-1335	LOE 1 – AI RESEARCH & DEVELOPMENT AND SOFTWARE
1335-1355	LOE 2 – APPLY AI TO NATIONAL SECURITY MISSIONS
1355-1420	LOE 3 – TRAIN AND RECRUIT AI TALENT
1420-1440	LOE 4 – PROTECT & BUILD ON U.S. TECH ADVANTAGES AND HARDWARE
1440-1500	LOE 5 & 6 – MARSHAL GLOBAL AI COOPERATION & ETHICS
1500-1520	SPECIAL TOPIC ON MALIGN INFORMATION OPERATIONS ENABLED BY AI
1520-1530	PUBLIC COMMENT, CLOSING REMARKS, & MEETING ADJOURNED

# NSCAI INTERIM REPORT: Draft Third Quarter Recommendations

### 80 Recommendations for Consideration from 6 Lines of Effort: Plenary Meeting October 8, 2020



#### LOE 1 - INVEST

- Create an Al Innovator Award Program to Invest in Top Talent
- 2. Invest in Research Teams Pursuing Transformative Ideas in Al
- Create AI Testbeds to Serve the Academic and Industry Research Communities
- 4. Support Al Data Set Curation and Maintenance
- Launch an Al Research Challenge
- 6. Communicate DoD Modernization Priorities to Industry through Issuance of Technology R&D Objectives
- 7. Strengthen Return on Small Business Innovation Research (SBIR) Investments
- 8. Launch an Al Catalyst Initiative

#### LOE 2 - APPLY

- USD(R&E) should integrate DoD's technology scouting community of practice, leveraging Al-enabled analytics to provide authoritative technology inputs for national security planning.
- USD(Ñ&E) should be appointed the Co-Chair and Chief Science Advisor to the Joint Requirements Oversight Council (JROC) for Joint and cross-domain capabilities.
- USD(R&E) should have a dedicated fund to mature, operationally prototype, and transition exceptionally promising Al-enabled technologies.
- 12. Within Office of the Director of National Intelligence (ODNI), the Director of Science and Technology (S&T) should be designated as the IC's Chief Technology Officer (CTO) and empowered to enable the IC to adopt AI-enabled applications to solve operational intelligence requirements.
- The IC CTO, in coordination with USD(R&E), should develop a technology annex to the National Intelligence Strategy that establishes technology roadmaps to adopt Al-enabled applications to solve operational intelligence requirements.
- 14. The IC CTO should establish common technical standards and policies necessary to rapidly scale Alenabled applications across the IC and have the authority to enforce them across the IC.
- The IC should develop a coordinated and federated approach to applying AI-enabled applications to open source intelligence.

#### **LOE 3 – TRAIN**

- Support the Army Al Task Force's Al and Data Science Workforce Initiative
- 7. Support the Navy Community College
- 18. Support the Air Force Digital University
- 19. Support the Air Force Computer Language Initiative
- Support the Air Force/Massachusetts Institute of Technology (MIT) Al Accelerator
- 21. Accelerate Existing Occupational Series Initiatives
- 22. Create an Al Occupational Series
- 23. Enact the STEM Corps Proposal
- 24. Endorse an Al Scholarship for Service Proposal
- 25. Create Digital Talent Recruiting Offices
- 26. Establish a public-private talent exchange (PPTE) program at non-DoD national security agencies
- 27. Create New Career Fields
- Create ASIs, AQDs, AMOSs, and SEIs for Topics Related to AI
- 29. Integrating Digital Skill Sets and Computational Thinking into Military Junior Leader Education
- 30. Integrating Digital Skill Sets and Computational Thinking into Civilian Junior Leader Education
- Integrate Emerging Technologies Material into Courses for Officers as part of Service-level Professional Military
- Require A Short Course for General and Flag Officers and SES Leadership Focused on Emerging Technologies
- Create Emerging Technology Coded Billets Within the Department of Defense
- 34. Require Short Courses for Policy Personnel with Al-Related Portfolios
- 35. Require Emerging Technology Training for Specific Acquisition Functional Areas
- 36. Support DAU Pilot Programs Attempting To Use AI to Tailor Pedagogy and Content to Individuals
- 37. Loan Forgiveness for Teachers
- 38. Increase Federal Funding to K-12 Teacher Education and Training for STEM and AI
- Create Online Al Curricula and Supporting Educational Development Items for K-12 Educators
- 40. Create Al-Focused Summer Learning Programs
- 41. Increase Funding for STEM and AI-Focused After School Programs
- 42. National Defense Education Act II
- 43. Mid-Career Faculty Fellowships
- 44. Support Creation of Pilot Program for Artificial Intelligence Technology and Education Improvements for Community Colleges
- 45. Creation of Al-Specific Government Internships
- Increase Incentives for Public-Private Job Reskilling Training
- Create a scalable and replicable microelectronics capable workforce development model
- 8. Create a National Microelectronics Scholar Program

### **LOE 4 - PROTECT**

- 49. Prioritize U.S. Leadership in Biotechnology as a National Security Imperative and pursue Whole-of-Government efforts to support U.S. Biotechnology Advantages and ensure the United States is a World Leader in Ethical Genomic Data Aggregation and Analysis
- 50. Increase the Profile of Biosecurity Issues and Biotechnology Competition within the U.S. National Security Departments and Agencies, treat Chinese Advancements in Biotechnology as a National Security Priority, and update the U.S. National Biodefense Strategy to include a Wider Range of Biological Threats
- 51. Launch a Strategic Communications Campaign to Highlight BGI's Links to the Chinese Government and How China is Utilizing Al to enable Ethically Problematic Developments in Biotechnology and Strengthen International Bioethical Norms and Standards reoarding Genomics Research
- 52. Pursue Global Cooperation on Smart Disease
- Publicly Announce Government Interest in Specific Quantum Use Cases to Incentivize Transition from Basic Research to National Security Applications
- Make Quantum Computing Accessible to Researchers via the National Al Research Resource
- 55. Foster a Vibrant Domestic Quantum Fabrication Ecosystem
- Incentivize Domestic Leading-Edge Microelectronics by Authorizing and Fully Funding Key Provisions of the CHIPS for America Act, including the Advanced Packaging National Manufacturing Institute
- Create Private Sector Incentives for Developing a Leading-Edge Merchant Fabrication Facility Through Refundable Investment Tax Credits
- 8. Improve Supply Chain Analysis, Reporting, and Stress Testing
- 59. Centralize Reshoring and Supply Chain Management
- Develop a Comprehensive Technology Strategy and Empower an Entity within the White House to Ensure Continued Leadership Across Emerging Technologies

#### LOE 5 & 6 - MARSHAL & ETHICS

- 61. The Departments of State and Defense should provide clear policy guidance and resource support to NATO's Al initiatives by aligning resources and providing technical expertise to assist NATO in its adoption of Al. This includes emphasizing critical areas from the Key Considerations as strategic priorities for NATO member alignment
- The Departments of State and Defense should negotiate formal Al cooperation agreements with Australia, India, Japan, New Zealand, South Korea, and Vietnam
- The United States, through the Department of State, should lead in developing the international AI environment by working with partners and adopting a "coalition of coalitions" approach to multilateral efforts
- 64. The President, through the Department of State, should initiate efforts to establish a Digital Coalition of democratic states and the private sector to coordinate efforts and strategy around AI and emerging technologies, beginning with a Digital Summit
- The President should issue an Executive Order to prioritize United States Government-efforts around technical standards through improved interagency coordination and improved collaboration with U.S. industry
- Congress should appropriate funds to NIST and key agencies for a dedicated interagency AI standards team to support the U.S. AI Standards Coordinator
- Congress should establish a Small Business
   Administration grant program to enable small- and
   medium-sized U.S. Al companies to participate in
   international standardization efforts
- 68. Under NIST's lead, the United States Government, in coordination with U.S. industry and U.S. allies, should promote international standardization in areas that further U.S. and allies' national security and defense interests in the appropriate and responsible use of Al
- The United States should center its Indo-Pacific relationships around India including by creating a U.S.-India Strategic Tech-Alliance
- 70. The Department of State should create a Strategic Dialogue for Emerging Technologies with the European Union (EU)
- 71. The United States Government, led by the Department of State, should engage in high-level and working group meetings with select key partners and allies on concrete, operational AI projects and applications and use the proposed Blueprint for AI Cooperation to assess and identify areas to deepen the relationship

#### SPEC. TOPIC -- MALIGN INFO OPS

- 72. A National Strategy for the Global Information Domain
- 73. Intelligence Reform and Malign Information Act
- 74. The Department of State should build a Global Coalition to Counter and Compete Against Malign Information
- Direct the Department of State to deploy dedicated Malign Information Watchers to key US. Embassies and Consulates
- Create a Malign Information Detection and Analysis Center (MIDAC) controlled by the United States Government and staffed by an elite team of intelligence analysts
- Direct the Office of Science and Technology Policy (OSTP) or senior-level Technology Advisor at the White House to coordinate a United States Government-wide Grand Challenge for autonomously detecting, attributing, and disrupting malign information operations
- 78. Executive Branch departments and agencies should utilize the Small Business Innovation Research (SBIR) contract and Other Transaction Authorities (OTAs) to deploy capital to companies that offer technical solutions that will assist the United States Government in identifying, countering, and defending against malign information operations
- 79. Give the Federal Communications Commission (FCC) the authority to set best practices for fighting malign information from foreign actors. Congress should direct the FCC to work with the private sector, civil society, and other experts when developing the best practices
- Pass the bipartisan Honest Ads Act, which would hold digital advertisements to the same Federal Election Commission (FEC) and FCC disclosure requirements as television, radio, and print advertisements

# LINE OF EFFORT 1: INVEST IN AI R&D FOR NATIONAL SECURITY

## DRAFT QUARTER THREE RECOMMENDATIONS



### **LOE AT A GLANCE**

# **Objective:**

Identify concrete steps the U.S. can take to maintain global leadership in Artificial Intelligence/Machine Learning research and development, with a focus in research that strengthens U.S. national security and defense.

### **Commissioners:**

- Dr. Andrew Moore, LOE Chair
- Dr. Eric Horvitz
- Dr. Bill Mark
- Dr. Steve Chien
- · Dr. Ken Ford
- Dr. Eric Schmidt, Chairman

### Q3 RECOMMENDATIONS

# Issue 1: Supporting Al Research through Novel Funding Mechanisms

- Create an Al Innovator Award Program to Invest in Top Talent
- 2. Invest in Research Teams Pursuing Transformative Ideas in AI
- 3. Create Al Testbeds to Serve the Academic and Industry Research Communities
- 4. Support Al Data Set Curation and Maintenance
- 5. Launch an Al Research Challenge

# Issue 2: Creating a Digital Ecosystem for National Security Al R&D

# Issue 3: Expanding Industry's Role in DoD's AI R&D to Develop Next-Generation Capabilities

- 6. Communicate DoD Modernization Priorities to Industry through Issuance of Technology R&D Objectives
- 7. Strengthen Return on Small Business Innovation Research (SBIR) Investments
- 8. Launch an Al Catalyst Initiative

- Federal R&D funding for AI has not kept pace with the revolutionary potential it holds or with aggressive investments by competitors. Investments that are multiple times greater than current levels are needed.
- 2. Untapped opportunities exist to build a nationwide AI R&D infrastructure and encourage regional innovation "clusters." Such AI districts for defense would benefit both national security and economic competitiveness.
- 3. The U.S. government should implement more flexible funding mechanisms to support Al research. Business as usual is insufficient.
- 4. The U.S. government must identify, prioritize, coordinate, and urgently implement national security-focused AI R&D investments.
- 5. Bureaucratic and resource constraints are hindering government-affiliated labs and research centers from reaching their full potential in AI R&D.
- 10. Rapidly fielding AI is an operational necessity. To get there requires investment in resilient, robust, reliable, and secure AI systems.
- 11. Al is only as good as the infrastructure behind it. Within DoD in particular this infrastructure is severely underdeveloped.

# LINE OF EFFORT 2: APPLY AI TO NATIONAL SECURITY MISSIONS

## DRAFT QUARTER THREE RECOMMENDATIONS



### LOE AT A GLANCE

# **Objective:**

Identify concrete steps that the U.S. can take to maintain its global leadership in Artificial Intelligence/Machine Learning application for U.S. national security and defense.

### **Commissioners:**

- · Safra Catz, LOE Chair
- Hon. Katharina McFarland
- Andy Jassy
- Dr. Steve Chien
- Dr. Ken Ford
- Hon. Robert O. Work, Vice-Chair

### **Q3 RECOMMENDATIONS**

### **Issue 1: Department of Defense**

- 1. USD(R&E) should integrate DoD's technology scouting community of practice, leveraging Al-enabled analytics to provide authoritative technology inputs for national security planning.
- USD(R&E) should be appointed the Co-Chair and Chief Science Advisor to the Joint Requirements Oversight Council (JROC) for Joint and cross-domain capabilities.
- 3. USD(R&E) should have a dedicated fund to mature, operationally prototype, and transition exceptionally promising Al-enabled technologies.

## **Issue 2: Intelligence Community**

- 4. Within Office of the Director of National Intelligence (ODNI), the Director of Science and Technology (S&T) should be designated as the IC's Chief Technology Officer (CTO) and empowered to enable the IC to adopt AI-enabled applications to solve operational intelligence requirements.
- 5. The IC CTO, in coordination with USD(R&E), should develop a technology annex to the National Intelligence Strategy that establishes technology roadmaps to adopt Al-enabled applications to solve operational intelligence requirements.
- 6. The IC CTO should establish common technical standards and policies necessary to rapidly scale Al-enabled applications across the IC and have the authority to enforce them across the IC.
- 7. The IC should develop a coordinated and federated approach to applying Al-enabled applications to open source intelligence.

- 6. Al can help the U.S. Government execute core national security missions, if we let it.
- Implementation of the government's national security strategies for AI is threatened by bureaucratic impediments and inertia. Defense and intelligence agencies must urgently accelerate their efforts.
- Pockets of successful bottom-up innovation exist across DoD and the IC. These isolated programs cannot translate into strategic change without top-down leadership to overcome organizational barriers.
- 9. Al adoption and deployment requires a different approach to acquisition.
- Rapidly fielding AI is an operational necessity. To get there requires investment in resilient, robust, reliable, and secure AI systems.
- 11. Al is only as good as the infrastructure behind it. Within DoD in particular this infrastructure is severely underdeveloped.
- 12. The U.S. government is not adequately leveraging basic, commercial AI to improve business practices and save taxpayer dollars. Departments and agencies must modernize to become more effective and costefficient.

# **LINE OF EFFORT 3: TRAIN AND RECRUIT AI TALENT**

### DRAFT QUARTER THREE RECOMMENDATIONS

### NATIONAL SECURITY COMMISSION ON ARTIFICIAL INTELLIGENCE

### LOE AT A GLANCE ——

# **Objective:**

Determine the current status of the AI workforce and recommend concrete steps the United States should take to build and maintain an AI workforce that can address national security and defense needs of the United States.

## **Commissioners:**

- Dr. Jose-Marie Griffiths, LOE Chair
- Hon. Mignon Clyburn
- Dr. Bill Mark
- Hon. Robert O. Work, Vice-Chair

### Q3 RECOMMENDATIONS

### Part I: Recommendations to Strengthen the AI Workforce

#### Issue 1: Existing Initiatives within the Military Services

- 1.1 Support the Army Al Task Force's Al and Data Science Workforce Initiative
- 1.2 Support the Navy Community College
- 1.3 Support the Air Force Digital University
- 1.4 Support the Air Force Computer Language Initiative
- 1.5 Support the Air Force/Massachusetts Institute of Technology (MIT) Al Accelerator

#### Issue 2: Managing Civilian Subject Matter Experts

- 1.6 Accelerate Existing Occupational Series Initiatives
- 1.7 Create an Al Occupational Series

#### Issue 3: Recruiting Civilian Subject Matter Experts

- 1.8 Enact the STEM Corps Proposal
- 1.9 Endorse an AI Scholarship for Service Proposal
- 1.10 Create Digital Talent Recruiting Offices
- 1.11 Establish a public-private talent exchange (PPTE) program at non-DoD national security agencies

#### Issue 4: Managing Military Subject Matter Experts

- 1.12 Create New Career Fields
- 1.13 Create ASI, AQD, AMOS, and SEI for Topics Related to AI

#### Issue 5: Junior Leader Training and Education

- 1.14 Integrating Digital Skill Sets and Computational Thinking into Military Junior Leader Education
- 1.15 Integrating Digital Skill Sets and Computational Thinking into Civilian Junior Leader Education

#### **Issue 6: Educating Organizational Leaders**

- 1.16 Integrate Emerging Technologies Material into Courses for Officers as part of Service-level Professional Military Education
- 1.17 Require A Short Course for General and Flag Officers and SES Leadership Focused on Emerging Technologies
- 1.18 Create Emerging Technology Coded Billets Within the Department of Defense

#### Issue 7: Creating AI Policy Experts

1.19 Require Short Courses for Policy Personnel with Al-Related Portfolios

#### Issue 8: Training Acquisition Professionals

- 1.20 Require Emerging Technology Training for Specific Acquisition Functional Areas
- 1.21 Support DAU Pilot Programs Attempting To Use AI to Tailor Pedagogy and Content to Individuals

- 13. National security agencies need to rethink the requirements for an Al-ready workforce. That includes extending familiarity with a range of relevant Al technologies throughout organizations, infusing training on the ethical and responsible development and fielding of Al at every level, and spreading the use of modern software tools.
- 14. DoD and the IC are failing to capitalize on existing technical talent because they do not have effective ways to identify Al-relevant skills already present in their workforce. They should systematically measure and incentivize the development of those skills.
- The U.S. Government is not fully utilizing civilian hiring authorities to recruit AI talent. Agencies need to make better use of pipelines for people with STEM training.
- 16. Expanding Al-focused fellowships and exchange opportunities can give officials and service members access to cutting-edge technology, and bring talent from our top Al companies into federal service.
- 17. The military and national security agencies are struggling to compete for top Al talent. They need a better pitch, incentive structure, and better on-ramps for recent graduates.
- American colleges and universities cannot meet the demand for undergraduate student interest in AI and computer science generally.
- The American AI talent pool depends heavily on international students and workers. Our global competitiveness hinges on our ability to attract and retain top minds from around the world

# LINE OF EFFORT 3: TRAIN AND RECRUIT AI TALENT

## DRAFT QUARTER THREE RECOMMENDATIONS, CONTINUED



### LOE AT A GLANCE -

# **Objective:**

Determine the current status of the AI workforce and recommend concrete steps the United States should take to build and maintain an AI workforce that can address national security and defense needs of the United States.

### **Commissioners:**

- Dr. Jose-Marie Griffiths, LOE Chair
- Hon. Mignon Clyburn
- Dr. Bill Mark
- Hon. Robert O. Work, Vice-Chair

### Q3 RECOMMENDATIONS

### Part II: Recommendations to Improve STEM Education

### Issue 1: Equitable K-12 Education for All Americans

- 2.1 Loan Forgiveness for Teachers
- 2.2 Increase Federal Funding to K-12 Teacher Education and Training for STEM and AI
- 2.3 Create Online Al Curricula and Supporting Educational Development Items for K-12 Educators
- 2.4 Create Al-Focused Summer Learning Programs
- 2.5 Increase Funding for STEM and Al-Focused After School Programs

### Issue 2: Strengthening Universities as Talent Pipelines

- 2.6 National Defense Education Act II
- 2.7 Mid-Career Faculty Fellowships
- 2.8 Support Creation of Pilot Program for Artificial Intelligence Technology and Education Improvements for Community Colleges
- 2.9 Creation of Al-Specific Government Internships

### Issue 3: Reskilling the Workforce

2.10 Increase Incentives for Public-Private Job Reskilling Training

#### Issue 4: Microelectronics Education

- 2.11 Create a scalable and replicable microelectronics capable workforce development model
- 2.12 Create a National Microelectronics Scholar Program

- 13. National security agencies need to rethink the requirements for an Al-ready workforce. That includes extending familiarity with a range of relevant Al technologies throughout organizations, infusing training on the ethical and responsible development and fielding of Al at every level, and spreading the use of modern software tools.
- 14. DoD and the IC are failing to capitalize on existing technical talent because they do not have effective ways to identify Al-relevant skills already present in their workforce. They should systematically measure and incentivize the development of those skills.
- The U.S. Government is not fully utilizing civilian hiring authorities to recruit AI talent. Agencies need to make better use of pipelines for people with STEM training.
- 16. Expanding Al-focused fellowships and exchange opportunities can give officials and service members access to cutting-edge technology, and bring talent from our top Al companies into federal service.
- 17. The military and national security agencies are struggling to compete for top Al talent. They need a better pitch, incentive structure, and better on-ramps for recent graduates.
- American colleges and universities cannot meet the demand for undergraduate student interest in AI and computer science generally.
- The American AI talent pool depends heavily on international students and workers. Our global competitiveness hinges on our ability to attract and retain top minds from around the world

# LINE OF EFFORT 4: PROTECT AND BUILD UPON U.S TECH ADVANTAGES & HARDWARE

### DRAFT QUARTER THREE RECOMMENDATIONS



### LOE AT A GLANCE

# **Objective:**

Determine how the United States can best protect and build upon existing U.S. technology advantages related to AI, including in key associated technologies which enable or are enabled by AI.

### **Commissioners:**

- · Gilman Louie, LOE Chair
- Dr. Jason Matheny
- Chris Darby

### **Q3 RECOMMENDATIONS**

### Part I: Biotechnology

- 1.1 Prioritize U.S. Leadership in Biotechnology as a National Security Imperative, and pursue Whole-of-Government efforts to support U.S. Biotechnology Advantages and ensure the United States is a World Leader in Ethical Genomic Data Aggregation and Analysis
- 1.2 Increase the Profile of Biosecurity Issues and Biotechnology Competition within the U.S. National Security Departments and Agencies, treat Chinese Advancements in Biotechnology as a National Security Priority, and update the U.S. National Biodefense Strategy to include a Wider Range of Biological Threats
- 1.3 Launch a Strategic Communications Campaign to Highlight BGI's Links to the Chinese Government and How China is Utilizing AI to enable Ethically Problematic Developments in Biotechnology, and Strengthen International Bioethical Norms and Standards regarding Genomics Research
- 1.4 Pursue Global Cooperation on Smart Disease Monitoring

#### **Part II: Quantum Computing**

- 2.1 Publicly Announce Government Interest in Specific Quantum Use Cases to Incentivize Transition from Basic Research to National Security Applications
- 2.2 Make Quantum Computing Accessible to Researchers via the National Al Research Resource
- 2.3 Foster a Vibrant Domestic Quantum Fabrication Ecosystem

# Part III: Microelectronics Leadership and Critical Technology Supply Chain Resilience

#### Issue 1: Developing a Resilient Domestic Microelectronics Industrial Base

- 3.1 Incentivize Domestic Leading-Edge Microelectronics by Authorizing and Fully Funding Key Provisions of the CHIPS for America Act, including the Advanced Packaging National Manufacturing Institute
- 3.2 Create Private Sector Incentives for Developing a Leading-Edge Merchant Fabrication Facility Through Refundable Investment Tax Credits

### Issue 2: Promoting Resilient Supply Chains for Critical Technologies

- 3.3 Improve Supply Chain Analysis, Reporting, and Stress Testing
- 3.4 Centralize Reshoring and Supply Chain Management

# Part IV: A Technology Competitiveness Council: Logic and Options

4.1 Develop a Comprehensive Technology Strategy and Empower an Entity within the White House to Ensure Continued Leadership Across Emerging Technologies

- 20. The U.S. Government should continue to use export controls—including multilateral controls—to protect specific U.S. and allied Al hardware advantages, in particular those in semiconductor manufacturing equipment.
- 21. Traditional item-based export controls and narrowly-scoped foreign investment reviews are by themselves insufficient to sustain U.S. competitiveness in AI.
- 22. The U.S. must continue leading in Alrelated hardware, and ensure the government has trusted access to the latest technologies.

# LINE OF EFFORT 5 & 6: MARSHAL GLOBAL AI COOPERATION & ETHICS

DRAFT QUARTER THREE RECOMMENDATIONS



### LOE AT A GLANCE

# **Objective:**

Identify opportunities for the United States to marshal global cooperation around AI and emerging technologies to promote common interests and values of like-minded nations and to shape worldwide AI norms and use.

## **LOE 5 Commissioners:**

- Dr. Jason Matheny, LOE Chair
- Gilman Louie
- Chris Darby

## **LOE 6 Commissioners:**

- Dr. Eric Horvitz, LOE Chair
- Dr. Jason Matheny
- Hon. Mignon Clyburn
- Dr. Jose-Marie Griffiths

\*\*LOE 6 contributed to Recommendation 1\*\*

### **Q3 RECOMMENDATIONS**

# Part I: Deepening Global Al Coordination for Defense and Security Issue 1: Furthering NATO's Adoption of Al

 The Departments of State and Defense should provide clear policy guidance and resource support to NATO's AI initiatives by aligning resources and providing technical expertise to assist NATO in its adoption of AI. To further responsible adoption and use of AI, the Departments should elevate critical areas of the Key Considerations as strategic priorities for the NATO Alliance and Allies

#### Issue 2: Deepening Defense and Security AI Coordination with Non-NATO Partners

2. The Departments of State and Defense should negotiate formal AI cooperation agreements with Australia, India, Japan, New Zealand, South Korea, and Vietnam

# Part II: Shaping Global Al Cooperation through Multilateral Forums

### Issue 1: Shaping the Global Al Terrain

- The United States, through the Department of State, should lead in developing the international AI environment by working with partners and adopting a "coalition of coalitions" approach to multilateral efforts
- 4. The President, through the Department of State, should initiate efforts to establish a Digital Coalition of democratic states and the private sector to coordinate efforts and strategy around Al and emerging technologies, beginning with a Digital Summit

#### Issue 2: Shaping International Technical Al Standards

- The President should issue an Executive Order to prioritize United States Government-efforts around technical standards through improved interagency coordination and improved collaboration with U.S. industry
- Congress should appropriate funds to NIST and key agencies for a dedicated interagency AI standards team to support the U.S. AI Standards Coordinator
- 7. Congress should establish a Small Business Administration grant program to enable smalland medium-sized U.S. Al companies to participate in international standardization efforts
- 8. Under NIST's lead, the United States Government, in coordination with U.S. industry and U.S. allies, should promote international standardization in areas that further U.S. and allies' national security and defense interests in the appropriate and responsible use of Al

# Part III: Building Resilient Bilateral Al Cooperation with Key Allies and Partners

#### Issue 1: Allies and Partners for Al Cooperation

- 9. The United States should center its Indo-Pacific relationships around India including by creating a U.S.-India Strategic Tech-Alliance
- 10. The Department of State should create a Strategic Dialogue for Emerging Technologies with the European Union (EU)

### Issue 2: Blueprint for Al Cooperation

11. The United States Government, led by the Department of State, should engage in high-level and working group meetings with select key partners and allies on concrete, operational Al projects and applications and use the proposed Blueprint for Al Cooperation to assess and identify areas to deepen the relationship

- 24. The United States must enhance its competitiveness in AI by establishing a network of partners dedicated to AI data sharing, R&D coordination, capacity building, and talent exchanges.
- 25. Al presents significant challenges for military interoperability. If the United States and its allies do not coordinate early and often on Al-enabled capabilities, the effectiveness of our military coalitions will suffer.
- 26. U.S. diplomacy should be open to possible cooperation with China and Russia on promoting AI safety and managing AI's impact on strategic stability.
- 27. The United States should lead in establishing a positive agenda for cooperation with all nations on Al advances that promise to benefit humanity.

# SPECIAL TOPIC ON MALIGN INFORMATION OPERATIONS ENABLED BY AI

DRAFT QUARTER THREE RECOMMENDATIONS



### SPECIAL TOPIC AT A GLANCE —

## **Objective:**

Understand how state and non-state threats will use AI and associated technologies against the U.S. and recommend response measures to preserve overall U.S. competitiveness and security credibility.

### Q3 RECOMMENDATIONS

### Issue 1: A World Defined by Malign Information

1. A National Strategy for the Global Information Domain

# Issue 2: Organizing to Defend, Counter, and Compete Against Malign Information Operations

2. Intelligence Reform and Malign Information Act

# Issue 3: Adopting an Offensive Approach to Counter and Compete Against Malign Information

- 3. The Department of State should build a Global Coalition to Counter and Compete Against Malign Information
- 4. Direct the Department of State to deploy dedicated Malign Information Watchers to key US. Embassies and Consulates
- 5. Create a Malign Information Detection and Analysis Center (MIDAC) controlled by the United States Government and staffed by an elite team of intelligence analysts
- 6. Direct the Office of Science and Technology Policy (OSTP) or senior-level Technology Advisor at the White House to coordinate a United States Government-wide Grand Challenge for autonomously detecting, attributing, and disrupting malign information operations
- 7. Executive Branch departments and agencies should utilize the Small Business Innovation Research (SBIR) contract and Other Transaction Authorities (OTAs) to deploy capital to companies that offer technical solutions that will assist the United States Government in identifying, countering, and defending against malign information operations
- 8. Give the Federal Communications Commission (FCC) the authority to set best practices for fighting malign information from foreign actors. Congress should direct the FCC to work with the private sector, civil society, and other experts when developing the best practices.
- Pass the bipartisan Honest Ads Act, which would hold digital advertisements to the same Federal Election Commission (FEC) and FCC disclosure requirements as television, radio, and print advertisements